# Down's Syndrome And COVID-19: Risk Or Protection Factor Against Infection? A Molecular And Genetic Approach

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### Abstract

Down syndrome (DS) is the most common genetic cause of learning difficulties and intellectual disabilities. DS patients often present with several congenital defects and chronic diseases, including immunity disorders. Elevated levels of pro-inflammatory cytokines such as interleukin (IL) -6 and tumor necrosis factor alpha (TNF-II) have been seen, which appear to vary with age. At birth, patients present with combined immunodeficiency, with frequent infections that decrease with age. Furthermore, high levels of IL-4 and IL-10 with anti-inflammatory properties and low levels of IL-6 and TNF-II are described in children. The immune system is believed to play or play an essential role in SARS-CoV-2 pathogenesis, and it has been associated with elevated levels of pro-inflammatory cytokines and an exaggerated cytokine release syndrome (CRS) that may eventually trigger a severe situation called cytokine storm. On the other hand, genetic features seem to be involved in the predisposition to illness and its severity. Overexpression of DSCR1 and ZAKI-4 inhibits the translocation of activated T-lymphocyte nuclear factor (NF-AT) to the nucleus, a main step in the inflammatory responsiveness. We discuss here, the possible role of immunology and genetic features of DS in the infection and prognosis in COVID-19.

# INTRODUCTION

More than one-third of COVID-19 patients present neurological symptoms during the course of the disease. Even in some patients, neurologic symptoms may be the initial or only presentations of the COVID-19.[1].

Down syndrome (DS), initially described by John Langdon Down in 1866 [2], is the most frequent aneuploidy characterized by a genetic disorder that results from the triplication of human chromosome 21 [3,4]; also related to chromosomal abnormalities such as translocation and in mosaicism [4,5]. There are three main types of DS: trisomy 21 (most common form, all cells have a triple copy of 21st chromosome), mosaicism (some cells have three copies of chromosome 21 and some cells have two copies of chromosome 21) and translocation (a third copy of chromosome 21 is translocated to another acrocentric chromosome). It is also the most common genetic cause of learning difficulties and intellectual disabilities [3]. The incidence in Europe is about 1,1 per 1000 live births [6] and in the United States about 1,42 in 1000 live births [7]; calculating that in the world there are around 6 million

people affected [8]. The survival of individuals with Down syndrome has increased in recent decades (owing mainly to improved management of congenital heart defects), resulting in large numbers of adults with DS.

DS is characterized by developmental delay, with typical dysmorphic characteristics and mild intellectual involvement [9], showing CI puntuactions between 37 and 70 with an average of 50, while in the general population it ranges from 85 to 115 with a average of 100 CI [10].

Many conditions can occur in DS, such as congenital heart problems present in 50% of cases [11]; gastrointestinal problems [12]; and hypothyroidism [13]. It should also be noted that in DS immune system is usually compromised, which makes this population more exposed to infections, autoimmune conditions such as celiac disease, thyroid disease, or type I diabetes mellitus, in addition to autoinflammatory conditions [14]. DS is also related to eating problems with overweight, obesity, hypercholesterolemia and vitamin and mineral deficiencies [15]. Concerning neuronal aspects, there are generalized alterations in neurogenesis, an excessive number of astrocytes, dendritic atrophy and problems in establishing new neuronal connections [16]. It has also been related to a smaller brain volume, maturation disorders, reduced neurotransmitter release, The lower brain volume is related to psychomotor impairment, which affects cognition, gait quality, and voluntary movement [17]. Moreover, hypoplasia, that mainly affects the cerebellum, in addition produces speech balance and coordination problems [18].

Regarding to neurodegenerative processes, DS is associated with the presence of early Alzheimer's, between the age of 40 to 50 years [19]. DS dementia is the most common form of dementia in individuals under 50 years of age [20]. However, the causes of this early deterioration are currently under discussion, being attributed to neuronal changes associated with insulin levels [21], which plays a neuroprotective role against ischemia, oxidative stress, apoptosis and toxicity of amyloid-II (AII) [22]. Besides, the association between Alzheimer's disease (AD) and DS has been described, together with high levels of tumor necrosis factor alpha (TNF-II) and interleukin (IL)-6, which are proinflammatory cytokines [23,24]. Parkinson's and Huntington diseases have also been correlated with DS [3]. Down Syndrome (DS), is also characterized by over-expression of the APP and DYRK1A genes, located on the triplicated chromosome 21. This chromosomal abnormality leads to a cognitive decline mediated by Amyloid-II (AII) overproduction and tau hyper-phosphorylation as early as the age of 40, and it has speculated DS individuals may benefit from active immunotherapy against All from a young age [25].

In February 2020, the World Health Organization named COVID-19 disease, which means coronavirus disease 2019 [26]. The virus that causes COVID-19 is called SARS-CoV-2 (Severe Acute Respiratory Syndrome coronavirus); previously, it was called 2019-nCoV [27,28]. The World Health Organization (WHO) announced the new disease's official name as "coronavirus disease 2019" (COVID-19) and the International Committee on Virus Taxonomy named it SARS-CoV-2. On March 11, 2020, the WHO declared the pandemic [26]. Since the start of the pandemic until July 14, 2020, more than thirteen million cases have been reported worldwide [29]. Currently, its genomic sequence has already been made public (Wuhan-Hu-1, GenBank Accession No. MN908947). However, the pathogenic mechanisms and the genetic role in them are not yet fully understood.

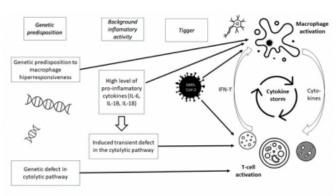
#### IMMUNOLOGY

SARS-CoV-2 infection activates the innate immune system, generating an excessive response that could be related to more significant lung injury. Pro-inflammatory cytokines (IL-2R, IL-6, IL8, IL10, and TNFI) has been also found to be associated to a hypercoagulability state [30] leading to the development of vascular disorders such as hearth [31] and cerebrovascular diseases [32], and worse clinical evolution. Clinical observations suggest that when the immune response is unable to effectively control the virus, as in older people with a weakened immune system, the virus would spread more efficiently, causing lung tissue damage, which would activate macrophages and granulocytes and could lead to the massive release of proinflammatory cytokines [33].

A Chinese research team has described the activation circuit of this immune pathway from the activation of aberrant CD4 + and CD8 + T helper (Th) lymphocytes (with higher expression of inflammatory markers, compared to healthy controls). In patients with SARS-CoV-2 pneumonia admitted to the intensive care unit (ICU) compared to those not admitted to the ICU, and with healthy controls, they observed a correlation with a higher proportion of CD4 + T cells that produce IL-6 and GM-CSF (granulocytemacrophage colony-stimulating factor) with the severity of COVID-19 cases [34]. Other studies have observed the presence of elevated levels of IL-6 and other proinflammatory cytokines in patients with severe COVID-19 [35]. This activation could carrying cytokine release syndrome (CRS), which can trigger a positive feedback loop that overwhelms counter-regulatory homeostatic mechanisms and results in a cytokine storm [36] (Figure 1). It would be associated with acute respiratory failure syndrome or adult respiratory distress syndrome (ARDS), which has been described as the main cause of death from COVID-19 [37]. CRS occurs when large numbers of leukocytes (neutrophils, macrophages, and mast cells) are activated and release large amounts of proinflammatory cytokines [38].

# Figure 1

Model of pathogenic events leading to the cytokine storm.



The central cytokines involved in CRS pathogenesis include interleukin (IL)-6, IL-10, interferon (IFN), monocyte chemotactic protein 1 (MCP-1), and GM-CSF. Other cytokines such as tumor necrosis factor (TNF), IL-1, IL-2 and IL-8 have also been described during the CRS. This syndrome has been observed in other viral infections such as SARS, MERS or Ebola, although through the alteration of different pathways. Furthermore, an increased plasma concentration of various cytokines has been observed (IL-11, IL-6, IL2, IL-2R, IL7, IL10, GSCF, IP10, MCP1 MIP1A, TNF1, etc.) in patients with COVID-19 mainly in patients with more severe symptoms [39].

Over the past decades, the immune system in DS has been studied extensively. DS patients have been reported to have an increase in circulating cytokines other than TNF-I, including interleukin I and interleukin I levels, and impaired cell-mediated immune function. These anomalies might cause an abnormal exacerbated inflammatory response and more severe disease in response to viral infection, as described in COVID-19 [40]. Enhanced expression of proinflammatory mediators (IFNI, IL1I, IL15, MIP3I, G-CSF and IL17A) has been observed in the hippocampi of animal models of DS significantly reduced by the chronic administration of an anti-IL17 mAb [41]. Down Syndrome (DS), is also characterized by over-expression of the APP and DYRK1A genes, located on the triplicated chromosome 21. This chromosomal abnormality leads to a cognitive decline mediated by Amyloid-II (AII) overproduction and tau hyper-phosphorylation as early as the age of 40

On the other hand, Cetiner et al. suggest that a poor antiinflammatory state with low IL-6 and TNF-I would explain the cause of susceptibility to infections in DS children [40]. They found reduced levels of IL-6 and TNF-I, and higher levels of anti-inflammatory cytokines IL-10 and IL-4, which inhibit the synthesis of pro-inflammatory cytokines such as IL-6 and TNF-I. It has been known that subjects with DS have an increased susceptibility to bacterial and viral infections, and autoimmune disorders according to healthy population, due to the impairment of the immune system [42–44].

In addition, individuals with trisomy 21 show more severe consequences during viral lung infections, such as increased rates of hospitalization during respiratory syncytial virus (RSV) and H1N1 influenza A infections [45,46]. The results of the study from Cetiner et al. might suggest that continuing anti-inflammatory state in DS is the cause of recurrent infections in DS child. In a recent study with 3 cases of DS infected by SARS-CoV-2, Krishnan et al. have speculated that repeated viral infections in the first years of life may boost natural humoral and cellular immunity explaining decreasing infections with age [47]. Patients who had a history of repeated viral infections had milder clinical courses in response to SARS-CoV-2 infection. And those who did not have frequent viral infections as a child had a more severe and prolonged course of SARS-CoV-2 infection [47]. Then, the variable levels of circulating cytokines reported probably reflect the ages of the patients studied, their environment and associated disorders as well as previous exposure to infections. Thus, DS could be a risk factor for COVID-19 in the child, while in older adults high levels of pro-inflammatory cytokines (IL-6 and TNF-II) could produce an exaggerated response to SARS-CoV-2 infection, leading to poor prognosis.

How trisomy 21 produces an alteration in the immune system is not yet completely known. However, there are several genes involved in the immune response whose overexpression could contribute to these abnormalities. Mainly the immune regulators encoded on chromosome 21 are four of the six interferon receptors: the two Type I Interferon (IFN) receptors IFNAR1 and IFNAR2, the Type II IFN receptor IFNGR2, and IL10RB, which serves both as a receptor subunit for Type III IFNs, but also for the cytokines IL-10, IL-22 and IL-26 [48–50]. These genes are overexpressed in all individuals with Down syndrome, regardless of sex, age, or ethnicity [51,52]. Furthermore, a positive feedback mechanism likely exists between proinflammatory cytokine production, the All burden and the APP level, present in BS and AD [41].

Several lines of evidence demonstrate the hyperactivation of

IFN signalling in DS [48]. Previous epidemics of coronavirus (SARS) and influenza (bird flu and Spanish flu) produced a high number of deaths. The cytokine storm is believed to be the cause. Preliminary studies conducted in Hong Kong [50] indicated that this was probably the leading cause of death during the 2003 SARS epidemic, as was the 2019-2020 coronavirus (SARS-CoV-2) [53].

# GENETICS

Solid malignancies (apart from testicular cancer) are less common, resulting in a lower overall risk for malignancies in Down syndrome [51,54,55]. Overexpression of DSCR1 and ZAKI-4 inhibits the transcription of calcineurin-dependent genes, by inhibiting the translocation of activated Tlymphocyte nuclear factor (NF-AT) to the nucleus [56]. Precisely the non-structural protein SARS-CoV 1 (Nsp1) induces the expression of IL-2 through the activation of NF-AT [57,58] which could trigger the cytokine storm observed in patients. Therefore, over-activation of the DSCR1 protein, in addition to protecting against cancer, might be expected to do so in the effects of COVID-19. Prefferle et al. identified redundant interactions between SARS-CoV non-structural protein Nsp1 and a group of host proteins with peptidylprolyl cis-trans-isomerase activity, including the cyclophilins/immunophilins PPIA, PPIG, PPIH and FKBP1A, FKBP1B [58]. These modulate the Calcineurin/NFAT pathway that plays an important role in immune cell activation [59,60]. They showed that SARS-CoV non-structural protein Nsp1, as well as full replicating SARS-CoV, enhance the CnA/NFAT pathway and induce NFAT-responsive promoters. This point could be a target for ciclosporina A in treatment of the infection [58]. Like DSCR1 it inhibits this pathway in BS.

In addition, increased neuroinflammation in DS brains appears to be mainly mediated by the exacerbation of macrophage activation state 1 (M1) cells due to the triplication of some critical inflammatory-associated genes, including RCAN1, CXADR, ADAMTS1, ADAMTS5, TIAM1, and IFNGR2 [41].

The SARS-CoV-2 genome is made up of a single positively polarized single-stranded RNA chain (+ ssRNA) of approximately 30,000 base pairs. This RNA chain structurally resembles a messenger RNA (mRNA) of eukaryotic cells. However, unlike eukaryotic mRNAs, this viral genome contains at least six open reading frames (ORFs) [61–63]. Thus, the first two thirds (closer to the 5 'end) code for the viral replicating gene. This gene is made

up of two ORFs (ORF 1a and ORF 1b) [61], which, at the beginning of the infection, will be directly translated into two large polyproteins called pp1a and pp1ab. These polyproteins will subsequently be proteolytically processed to generate 16 non-structural proteins (nsps), which will be involved in the replication of the viral genome and the transcription of subgenomic mRNAs (sgRNAs) [64–67].

# CONCLUSION

Even though the effects of DSCR1 overexpression are contradicted by the increase in IL-2 levels in DS and the probability of an exacerbated immune response, it could be explained by the differences between the infant and adult stages. That is, in children, there would be an immunodeficiency as already described in the last decades, that would facilitate infections; with decreased levels of proinflammatory interleukins such as IL-2. However, in adults, there would be a pro-inflammatory state with elevated proinflammatory cytokines levels, such as IL-2, IL-6 and TNF-I, as described in DS (also related to AD).

According to this hypothesis, DS could be a risk factor for COVID-19 in the child, while in adulthood, it would protect against SARS-CoV-19 infection, but with a worse prognosis due to an exaggerated immune response (cytokine storm).

Although DS cases have already been described with COVID-19, finding that on average they are admitted up to ten years younger than the general population, and with greater severity of symptoms [68], this does not allow us to conclude that it is a risk factor, but those who have been hospitalized showed more severe symptoms, without knowing their clinical history.

Therefore, with all the aforementioned, COVID-19 would not only affect depending on the person's state of health or age but could also be affected by genetic components such as the alteration of chromosome 21 present in Down syndrome.

On the other hand, it must be taken into account that despite the considerable increase in life expectancy in the case of SD [6], one of the characteristics of this group is accelerated and premature aging [69], so if we were to attend to the age of the organism, people over 40 would be in the highest risk group, as are those over sixty in the general population.

We believe that more properly designed studies are needed to understand a possible relationship between DS and COVID-19, based on these initial data.

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